FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defen<br>10b5-1(c). See Ir | se conditions of Rule astruction 10. |          |                                                                          |                                                             |                         |
|----------------------------------------|--------------------------------------|----------|--------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|
| 1. Name and Addres                     | ess of Reporting Per                 | son *    | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Velo3D, Inc. [ VLDX ] | 5. Relationship of Reporting Pers<br>(Check all applicable) | son(s) to Issuer        |
| (Last)                                 | (First)                              | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 11/15/2024              | X Director  X Officer (give title below)                    | Other (specify below)   |
| C/O VELO3D,                            | INC.                                 |          |                                                                          | CEC                                                         | )                       |
| 2710 LAKEVIE                           | EW CT                                |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 | 6. Individual or Joint/Group Filino                         | , ,                     |
| (Street)                               |                                      |          |                                                                          | X Form filed by One Rep                                     | ŭ                       |
| FREMONT                                | CA                                   | 94538    |                                                                          | Form filled by More tha                                     | an One Reporting Person |
| (City)                                 | (State)                              | (Zip)    |                                                                          |                                                             |                         |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--------------------------------------------|---------------------------------|---|----------------------------------------------------------------------|---------------|-------|------------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------|
|                                 |                                            | Code                            | v | Amount                                                               | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)                                     |                                                                   | (Instr. 4)              |
| Common Stock                    | 11/15/2024                                 | M                               |   | 835                                                                  | A             | (1)   | 10,733                                                                 | D                                                                 |                         |
| Common Stock                    | 11/15/2024                                 | M                               |   | 5,756                                                                | A             | (1)   | 16,489                                                                 | D                                                                 |                         |
| Common Stock                    | 11/18/2024                                 | M                               |   | 898                                                                  | A             | (1)   | 17,387                                                                 | D                                                                 |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   |     | ate   | Securities Underlying |                    |                 | Reported                            | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|---------------------------------|---|-----|-------|-----------------------|--------------------|-----------------|-------------------------------------|--------------------------------------------------------------------------|---------------------------------------|---|--|
|                                                     |                                                                       |                                            |                                                             | Code                            | v | (A) | (D)   | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |                                                                          | Transaction(s)<br>(Instr. 4)          |   |  |
| Restricted Stock<br>Units                           | (1)                                                                   | 11/15/2024                                 |                                                             | М                               |   |     | 835   | (2)                   | (2)                | Common<br>Stock | 835                                 | \$0                                                                      | 7,507                                 | D |  |
| Restricted stock units                              | (1)                                                                   | 11/15/2024                                 |                                                             | M                               |   |     | 5,756 | (3)                   | (3)                | Common<br>Stock | 5,756                               | \$0                                                                      | 17,268                                | D |  |
| Restricted stock units                              | (1)                                                                   | 11/18/2024                                 |                                                             | М                               |   |     | 898   | (4)                   | (4)                | common<br>stock | 898                                 | \$0                                                                      | 898                                   | D |  |

#### **Explanation of Responses:**

- 1. Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of the Issuer's Common Stock upon settlement for no consideration.
- 2. The RSUs vests as to 1/4th of the total grant on February 15, 2024, and thereafter 1/12th of the total grant vests quarterly until such time as the RSUs are 100% vested, subject to the continued service of the Reporting Person on
- 3. The RSUs vest 25% @ 1 year starting Nov'24, Quarterly thereafter for 3 years, subject to the continued service of the reporting person on each vesting date
- 4. The RSUs vest in 12 equal monthly installments over one year, beginning January 18, 2024, subject to the continuing service of the Reporting Person on each vesting date.

/s/ Roma Kumar as attorney-in-fact 11/20/2024 for Bradley Allen Kreger

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.