SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

1. Name and Address of Reporting Person* McCombe William D.					2. Issuer Name <b>and</b> Ticker or Trading Symbol Velo3D, Inc. [VLD]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O VELO3D, INC.					3. Date of Earliest Transaction (Month/Day/Year) 11/15/2022							Director Officer (gi below) Ch		10% O Other ( below) ncial Officer	specify	
S11 DIVISION STREET (Street) CAMPBELL CA 95008 (City) (State) (Zip)				4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)							<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date				2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code V		Amount	Amount (A) or (D)		Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>			(Instr. 4)	
Common Stock 11/1						М		39,74	0	A (1)		139,740		D		
Common Stock 11/1				11/16/2022		<b>S</b> <sup>(2)</sup>		14,47	4,470 D \$2		\$2.6147	125,270		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr.	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any	e, Transaction Code (Instr.	5. Number of Derivative Securities	6. Date E Expiratio (Month/D	on Date				lerlying	8. Price of 9. Number Derivative derivative Security Securitie		e Ownership	11. Nature of Indirect Beneficial	

Date

3. The RSUs vest as to 1/4th of the total shares on November 15, 2022, and thereafter 1/16th of the total shares vest quarterly until such time as the RSUs are 100% vested, subject to the continued service of the Reporting Person

Exercisable

(3)

## on each vesting date Remarks:

Restricted Stock

Explanation of Responses:

Unit (RSU)

3)

Price of

Security

Derivative

(1)

/s/ Benyamin Buller as attorney-12/14/2022 in-fact for William D. McCombe Date

(Instr. 5)

\$0.00

Beneficially

Owned

Following

Reported Transaction(s)

(Instr. 4)

119,222

Direct (D)

or Indirect

(I) (Instr. 4)

D

Ownership

(Instr. 4)

\*\* Signature of Reporting Person

Amount

39,740

or Number of Shares

3 and 4)

Title

Commor

Stock

Expiration

(3)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

11/15/2022

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

(Month/Dav/Year)

2. The sale of shares is for the sole purpose of covering the Reporting Person's tax liability with respect to the settlement of RSUs.

8)

Code

М

1. Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of the Issuer's Common Stock upon settlement for no consideration.

Acquired (A) or

Disposed of

and 5)

v (A)

(D) (Instr. 3, 4

(D)

39,740

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.